United States Patent [19]

Gupta et al.

[54] **AUTOMATED PENETRATION ANALYSIS SYSTEM AND METHOD**

[75] Inventors: **Sarbari Gupta**, Rockville; **Virgil D. Gligor**, Chevy Chase, both of Md.

[73] Assignee: **International Business Machines Corporation**, Armonk, N.Y.

[56] **References Cited**

U.S. PATENT DOCUMENTS

4,649,515	3/1987	Thompson et al.	395/911 X
4,956,769	9/1990	Smith	364/200
5,060,279	10/1991	Crawford et al.	395/911 X
5,099,436	3/1992	McCown et al.	395/911 X
5,133,063	7/1992	Naito et al.	395/50 X
5,161,245	11/1992	Fenwick.	364/419 X
5,197,004	3/1993	Sobotka et al.	364/419

OTHER PUBLICATIONS

Gupta et al., "Towards a Theory of Penetration–Resistant Systems and its Applications", Proc. of the 4th IEEE Workshop on Computer Security Foundations, Franconia, N.H., pp. 62–78, Jun. 1991.

Jiang et al., "Distributed System Security Research at FSD Gaithersburg", IBM Document No. FSDSS–9202, Jan. 8, 1992.

Gupta et al., "Experience with a Penetartion Analysis Method and Tool", U of MD, Electrical Engineering Department, Technical Report No. 2881, Apr. 1992.

Tsai et al., "Distributed System and Security Management with Centralized Control", 1992 EurOpen/USENIX Workshop, Jersey, U.K., Apr. 6–9, 1992.

[57] **ABSTRACT**

The present invention provides a penetration-analysis method, which (1) provides a systematic approach to penetration analysis, (2) enables the verification of penetration-resistance properties, and (3) is amenable to automation. An Automated Penetration Analysis (APA) tool is provided, to support the penetration analysis method. The penetration-analysis system and method is based on a theory of penetration-resistant computer systems, a model of penetration analysis, and a unified representation of penetration patterns. The theory consists of the Hypothesis of Penetration-Resistant Systems and a set of design properties that characterize resistance to penetration. The penetration-analysis model defines a set of states, a state-invariant for penetration resistance, and a set of rules that can be applied for analyzing the penetration vulnerability of a system. An interpretation of the Hypothesis of Penetration-Resistant Systems within a given system provides the Hypothesis of Penetration Patterns, which enables the present invention to define a unified representation for a large set of penetration instances as missing check patterns.

6 Claims, 31 Drawing Sheets